

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): BRUN, Michel	Examiner: FUQUA, SHAWNTINA T
Application No.: 10/574,542	Art Unit: 3742
Filed: 3 May 2007	Confirmation Number: 4054
Title: HEATING ASSEMBLY COMPRISING A PTC ELEMENT, IN PARTICULAR FOR A MOTOR VEHICLE	
Attorney Docket No.: 1006/0106PUS1	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(within three months of filing national application or date of entry of international application; before mailing date of first office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination)
- ☒ under 37 CFR 1.97(c) together with either a:
☒ statement under 37 CFR 1.97(e), **or**
☐ a \$180.00 fee under 37 CFR 1.17(p),
(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ statement under 37 CFR 1.97(e), **and**
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Statement under 37 CFR 1.97(e) (if applicable):

☒ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.F./

more than three months prior to the filing of the information disclosure statement (37 CFR 1.97(e)(1)).

☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement (37 CFR 1.97(e)(2)).

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO/SB/08 attached hereto.

II. COPIES (check at least one box)

a. ☒ Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

b. ☒ Some or all of the documents listed on the PTO/SB/08 are not enclosed because they are either U.S. Patents or Published Applications or were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

c. ☐ As provided in 37 CFR 1.98(d), some or all of the documents listed on the PTO/SB/08 are not enclosed because they were cited in the parent application(s). Accordingly, copies are not submitted herewith.

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

a. ☐ DOCUMENTS IN THE ENGLISH LANGUAGE

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

b. ☒ DOCUMENTS NOT IN THE ENGLISH LANGUAGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows: The EP documents listed in the enclosed 1449 have corresponding English counterparts also listed in the 1449.

c. ☐ ENGLISH LANGUAGE SEARCH REPORT

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An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).


d. ☐ OTHER

The following additional information is provided for the Examiner's consideration.

It is requested that the information disclosed herein be made of record in this application. Please charge any shortage in fees due in connection with the filing of this, concurrent and future replies, including extension of time fees, to Deposit Account 50-3828 and please credit any excess fees to such deposit account.

Date: June 17, 2009

Respectfully submitted,



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Substitute for form 1449A/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/574,542
			Filing Date	3 May 2007
			First Named Inventor	BRUN
			Art Unit	3742
			Examiner Name	FUQUA, SHAWNTINA T
Sheet	1	of	Attorney Docket Number	1006/0106PUS1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)	MM-DD-YYYY		
		US-5,057,672*	10-15-1991	Bohlender et al	
		US-6,472,645 B1**	10-29-2002	Franz Bohlender	
		US- US 2005/0072774 A1***	04-07-2005	Franz Bohlender	
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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	MM-DD-YYYY		
		EP 0 350 528 A1* corresponds to US 5,057,672	01-17-1990	David & Baader DBK Spezialfabrik elektrischer Apparate und Heizwiderstände GmbH	
		EP 1 061 776 A1** corresponds to US 6,472,645 B1	12-20-2000	David & Baader DBK Spezialfabrik elektrischer Apparate und Heizwiderstände GmbH	
		EP 1 432 287 A1*** corresponds to US 2005/0072774 A1	06-23-2004	Catem GmbH & Co. KG	

Examiner Signature	/Shawntina Fuqua/	Date Considered	04/30/2010
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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